

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 17:56:04
2. **Agency:** 010
3. **Bureau:** 00
4. **Name of this Investment:** DOI - Consolidated Financial Statement (CFS) System
5. **Unique Project (Investment) Identifier:** 010-00-01-01-01-0033-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? ***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The purpose of this business case is to request operations & maintenance funding for the Consolidated Financial Statement (CFS) system. Funds will be used for software license, hosting and government and contractor labor to support the system and its users. The Department of the Interior and two external clients fund the operation of this system through reimbursable inter-agency agreements. Funding for this project supports the contractor and federal functional and technical support staff, software licenses, hardware, and hosting for the system. The NBC's CFS O&M costs are fully funded by clients, and costs are shared accordingly. DOI is required to report its financial position through consolidated financial statements issued to the Office of Management and Budget (OMB). An independent auditing firm conducts the annual audit of the financial statements. These audited financial statements and notes become an integral part of the Department's Accountability Report. Also, the Department annually submits data to the Treasury Department via the FACTS I reporting process. The DOI Office of Financial Management has the primary responsibility for meeting this reporting requirement, and the Department and its bureaus rely heavily on CFS to prepare their financial statements. CFS meets the Department's requirements for financial statement preparation and FACTS I reporting. The Department expects to use CFS for financial statement preparation and FACTS I reporting until the last bureau migrates to FBMS, at which time CFS will be retired. The retirement plans calls for continuation of CFS for six months following the final FBMS (D7) bureau deployment. Now that the final FBMS bureau deployment is planned for June 2012, retirement of CFS will take place at the end of calendar year 2013 (FY14 Q1 end).

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***
10. **Contact information of Program/Project Manager?**

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
INDNBCN100002	FFP	Y	2009-08-04	2009-10-01	2010-09-30	\$0.1	*	*	*	*	*
IND07014C08002	FFP	Y	2009-10-15	2009-10-15	2010-09-30	\$0.6	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	95%
2007	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	Unqualified audit opinion; no major audit finding
2007	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	95%
2008	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Respond to user within 24 hours	respond to user within 48 hours
2008	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	Unqualified audit opinion; no major audit finding
2008	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access within 72 hours of request
2008	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	100%
2010	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	No major audit findings as of 7/1/2010
2011	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Response to user within 24 hours	To be measured by 10/31/2011
2011	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	To be measured by 10/31/2011
2010	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal	99% of users granted access w/in 72 hours of request M-F, excl Federal	99% of users granted access w/in 72 hours as of 7/1/2010

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					holidays	holidays	
2009	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	100%
2009	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	Unqualified audit opinion; no major audit finding
2007	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Respond to user within 24 hours	Respond to user within 72 hours
2010	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Response to user within 24 hours	To be measured by 10/31/2010
2010	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	CFS system available 100% of the time as of 7/1/2010
2009	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Respond to user within 24 hours	100%
2011	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	To be measured by 10/31/2011
2011	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	To be measured by 10/31/2011
2012	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Response to user within 24 hours	To be measured by 10/31/2012
2012	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	To be measured by 10/31/2012
2012	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	To be measured by 10/31/2012
2012	Management	*	*	CFS system	CFS system	CFS system	To be measured

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Excellence: Increased Accountability.			available 24/7/365, except during established periods of maintenance	available 95% of the time	available 99% of the time	by 10/31/2012
2013	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Response to user within 24 hours	To be measured by 10/31/2013
2013	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	To be measured by 10/31/2013
2013	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	To be measured by 10/31/2013
2009	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	100%
2014	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	To be measured by 10/31/2014
2014	Management Excellence: Increased Accountability.	*	*	CFS system available 24/7/365, except during established periods of maintenance	CFS system available 95% of the time	CFS system available 99% of the time	To be measured by 10/31/2014
2014	Management Excellence: Increased Accountability.	*	*	Audit Findings	No major audit finding on the annual DOI Accountability Report	Unqualified opinion on the DOI Accountability Report	To be measured by 10/31/2014
2014	Management Excellence: Increased Accountability.	*	*	Time it takes to respond to user questions	Response to user within 72 hours	Response to user within 24 hours	To be measured by 10/31/2014
2013	Management Excellence: Increased Accountability.	*	*	User access to CFS System	90% of users granted access w/in 72 hours of request M-F, excl Federal holidays	99% of users granted access w/in 72 hours of request M-F, excl Federal holidays	To be measured by 10/31/2013

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY14 Maintenance Support	*	*	2013-10-01		2013-12-31		0.00%	0.00%
FY09 Maintenance Support	\$0.8	\$1.0	2008-10-01	2008-10-01	2009-10-15	2009-10-15	100.00%	100.00%
FY06 Maintenance Support	\$0.7	\$0.7	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY11 Maintenance Support	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY00-04 Maintenance Support	\$2.3	\$2.9	2000-10-01	2000-10-01	2004-09-30	2004-09-30	100.00%	100.00%
FY05 Maintenance Support	\$0.6	\$0.6	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
FY07 Maintenance Support	\$0.8	\$0.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY12 Maintenance Support	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY08 Maintenance Support	\$0.7	\$0.5	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY13 Maintenance Support	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY10 Maintenance Support	\$1.3	\$0.8	2009-10-01	2009-10-01	2010-09-30		83.00%	83.00%

* - Indicates data is redacted.